

032304
16310 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	223565
Client Reference No.	303575.01
First Inventor	John Gerard Speare
Title	Method for Dynamic Application of Rights Management Policy
Express Mail Label No.	EV 329737325 US

22581 U.S. PTO
10/807063

032304

APPLICATION ELEMENTS

ADDRESS TO:

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

ACCOMPANYING APPLICATION PARTS

1. ☒ Utility Patent Application Transmittal Form
2. ☐ Applicant claims small entity status. See 37 CFR 1.27.
3. ☒ Specification (including claims and abstract) [Total Pages 29]
4. ☒ Drawings [Total Sheets 8]
5. ☒ Combined Declaration and Power of Attorney [Total Pages 6]
 - a. ☒ Newly executed
 - b. ☐ Copy from prior application [Note Box 6 below]
 - i. ☐ Deletion of Inventor(s) Signed statement attached deleting inventor(s) named in the prior application
6. ☐ Incorporation by Reference: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b is considered as part of the disclosure of the accompanying application and is hereby incorporated by reference.
7. ☒ Application Data Sheet. See 37 CFR 1.76
8. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
9. Nucleotide and/or Amino Acid Sequence Submission
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ Paper Copy
 - c. ☐ Statement verifying identity of above copies
10. ☐ Applicant requests early publication. (include publication fee under 37 CFR 1.18(d))
11. ☒ Assignment Papers (cover sheet and document(s))
12. ☐ 37 CFR 3.73(b) Statement (when there is an Assignee)
13. ☐ Power of Attorney
14. ☐ English Translation Document (if applicable)
15. ☐ Information Disclosure Statement (IDS)
 - ☐ Form PTO-1449
 - ☐ Copies of References (except for U.S. patents and applications)
16. ☐ Preliminary Amendment
17. ☒ Return Receipt Postcard (Should be specifically itemized)
18. ☐ Claim of Priority & Certified Copy of Priority Document(s)
19. ☐ Request & Certification Under 35 USC 122(b)(2)(B)(i) (Form PTO/SB/35 or its equivalent must be submitted with this application to prevent publication at 18 months)
20. ☒ Application Cover Page

21. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below:

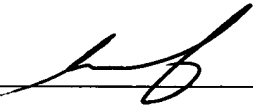
- ☐ Continuation ☐ Divisional ☐ Continuation-in-part of prior application no. _____
Prior application information: Examiner _____; Group Art Unit: _____

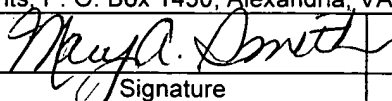
APPLICATION FEES

BASIC FEE				\$770.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims	39 -20=	19	x \$18.00	\$342.00
Independent Claims	12 - 3=	9	x \$86.00	\$774.00
<input type="checkbox"/> Multiple Dependent Claim if applicable				+\$290.00 \$
Total of above calculations =				\$1,886.00
Reduction by 50% for filing by small entity =				\$()
<input checked="" type="checkbox"/> Assignment fee if applicable				+ \$40.00 \$40.00
<input type="checkbox"/> Early publication fee if applicable				+ \$300.00 \$
TOTAL =				\$1,926.00

UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 223565
Client Reference No.303575.01

22. <input checked="" type="checkbox"/> Please charge my Deposit Account No. 12-1216 in the amount of \$1,926.00.	
23. <input type="checkbox"/> A check in the amount of \$ is enclosed.	
24. The Commissioner is hereby authorized to credit overpayments or charge any additional fees of the following types to Deposit Account No. 12-1216: a. <input checked="" type="checkbox"/> Fees required under 37 CFR 1.16. b. <input checked="" type="checkbox"/> Fees required under 37 CFR 1.17.	
25. <input checked="" type="checkbox"/> The Commissioner is hereby generally authorized under 37 CFR 1.136(a)(3) to treat any future reply in this or any related application filed pursuant to 37 CFR 1.53 requiring an extension of time as incorporating a request therefor, and the Commissioner is hereby specifically authorized to charge Deposit Account No. 12-1216 for any fee that may be due in connection with such a request for an extension of time.	
26. CORRESPONDENCE ADDRESS	
<input checked="" type="checkbox"/> Customer Number: 38887 <div style="text-align: center;">38887</div>	<input type="checkbox"/> , Reg. No. Leydig, Voit & Mayer, Ltd. Two Prudential Plaza, Suite 4900 180 North Stetson Avenue Chicago, Illinois 60601-6780 (312) 616-5600 (telephone) (312) 616-5700 (facsimile)
Name	Scott H. Schulhof, Registration No. 53,568
Signature	
Date	March 23, 2004

Certificate of Mailing Under 37 CFR 1.10		
I hereby certify that this Utility Patent Application Transmittal and all accompanying documents are being deposited with the United States Postal Service "Express Mail Post Office To Addressee" Service under 37 CFR 1.10 on the date indicated below and is addressed to: Mail Stop Patent Application, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.		
Mary A. Smith		March 23, 2004
Name of Person Signing	Signature	Date

Utility Transmittal (Revised 2/28/04)

THE PATENT AND TRADEMARK OFFICE IS RESPECTFULLY REQUESTED TO PLACE ITS STAMP ON THIS POSTAL CARD AND PLACE IT IN THE OUTGOING MAIL TO SHOW THE FOLLOWING PAPERS HAVE BEEN RECEIVED.

Mailing/Filing Date: March 23, 2004 (SS/ms) Express Mail Label No. EV 329737325 US
Application Of: SPEARE ET AL.

Docket No.: 223565

Client: Microsoft

Title: Method for Dynamic Application of Rights Management Policy

- ☒ Utility Patent Application Transmittal (2 pages in duplicate) [Total Pages 4]
[Charge Deposit Account]
- ☒ Patent Application Cover Page (1 page)
- ☒ Specification including 39 claims (12 independent) and Abstract (Total Pages 29)
- ☒ Drawings (8 Pages)
- ☒ Declaration and Power of Attorney (6 pages)
- ☒ Application Data Sheet (4 pages)
- ☒ Assignment Recordation Coversheet (1 page) and Assignment (9 pages)